

# Characterization of a Novel Fluxless Surface Preparation Process for Die Interconnect Bonding

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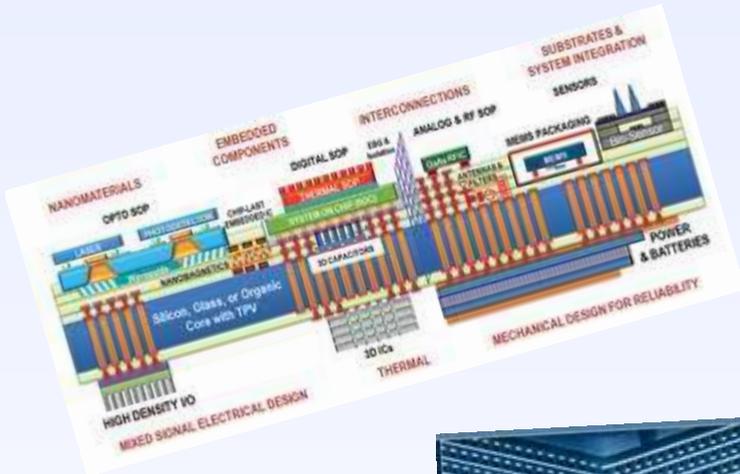
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# Agenda / Outline / Overview

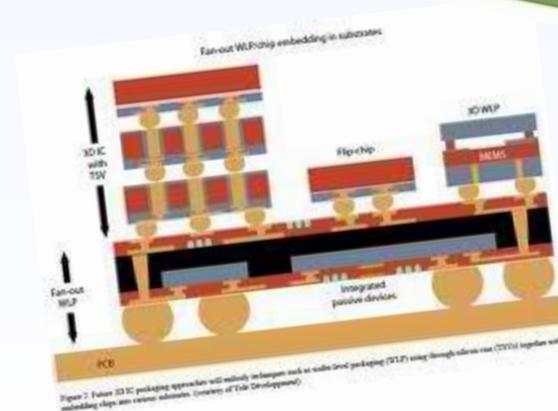
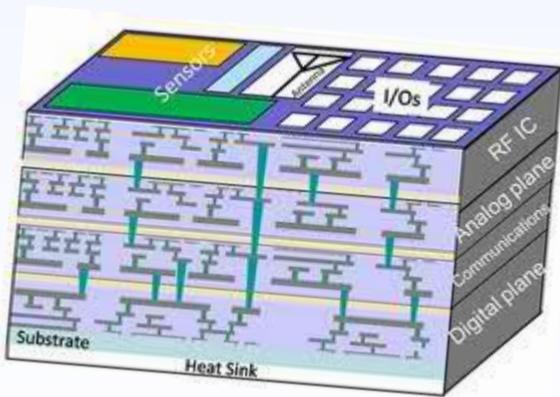
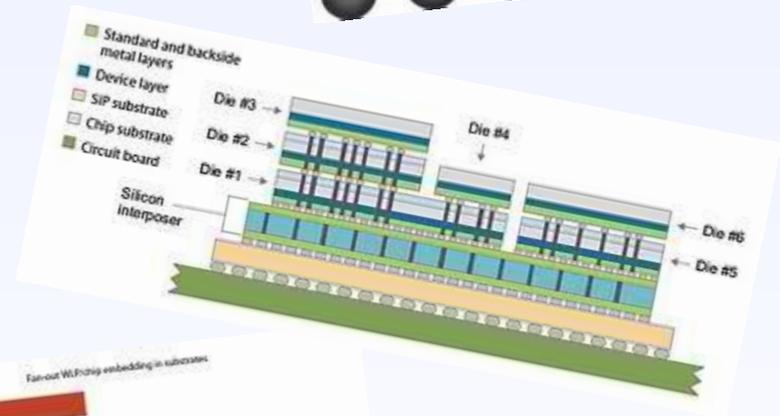
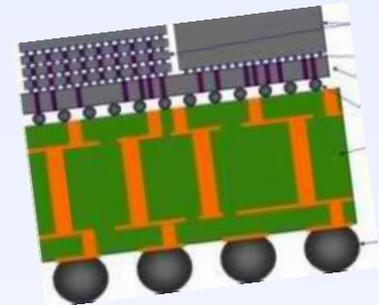
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- **Introduction**
- **Surface Preparation Metrics**
- **Experimental Results**
- **Summary**
- **Future Work**
- **Acknowledgements**

# Cool 3D Structures

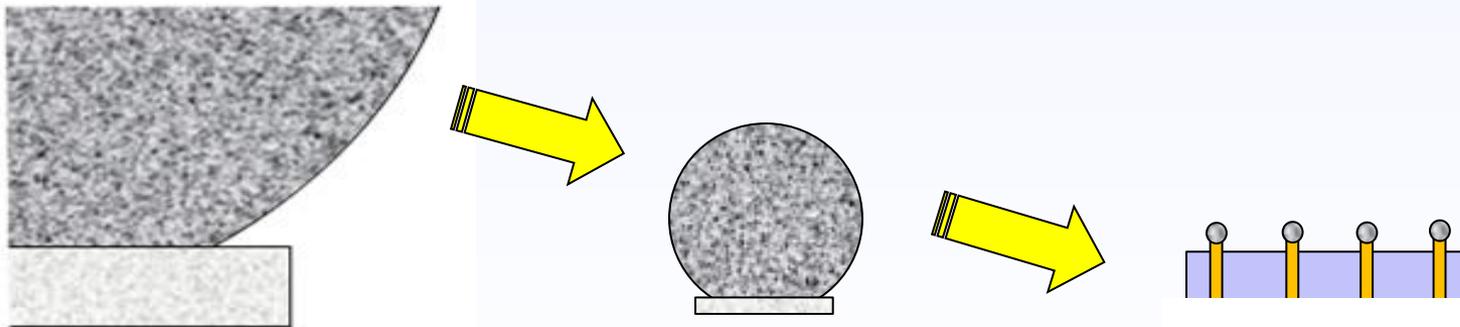


How are we going to actually assemble these???



# Introduction – Steady Demand for Finer Pitch Interconnects

- BGA
  - Ball-Grid arrays: 400-100u centers
- “Fine pitch” Flip Chip
  - C-4 -like processes: approaching sub-100u centers
- 3DIC chip stacking
  - Paradigm shift to TSVs, copper posts, SnAg caps: as low as 10u centers! True Microbumps as small as 3 microns.



# Some Clues From The Infrared Focal Plane Industry

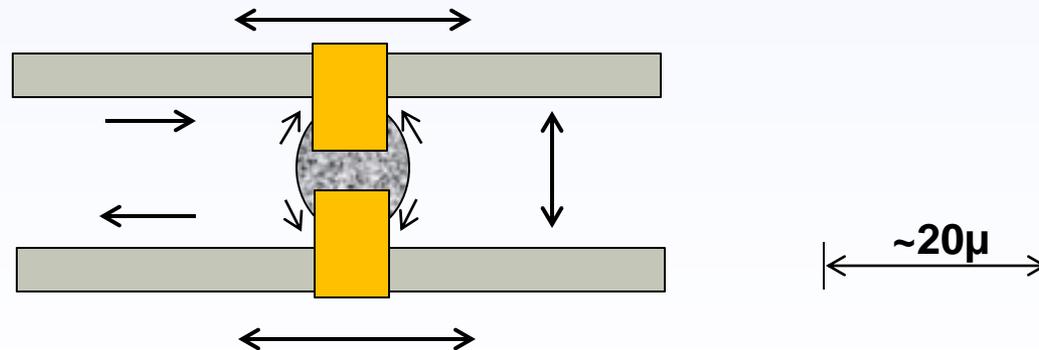
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## 2048 X 2048 IRFPA 4 Megapixel 3D Hybrid



# Introduction – 3D Bonding is a Whole New Ballgame

- Micro-fine pitch and new bonding metallurgy require **tighter restrictions on process control**:
  - Bond alignment accuracy in X, Y, Theta, parallelism.
  - Maintaining alignment during initial (and subsequent) reflow.
  - Squeeze-out control.
  - Thermal expansion/flexibility/reliability issues.
  - Surface preparation to control oxides and contamination. ←



# Why not Prep. with Flux, Acid, or RIE?

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- Photoresist residue inhibits fluxes and acids - **Non-uniformity.**
- Narrow gaps – Flux cannot be removed. – **Reliability.**
- Acid dips difficult to control. Microbumps etch away in seconds. Electrolytic etch enhancement. **Non-uniformity.**
- Acid dips require rinse before bonding – **re-oxidation is time-sensitive.**
- Oxygen ashing – OK for organics, but **grows hard Oxide!**
- RIE is **slow, expensive,** and potentially **damaging** to sensitive chips - **Re-oxidation is time-sensitive.**

# We Propose A “Radical” New Approach To Surface Prep: Atmospheric Downstream Plasma Treatment

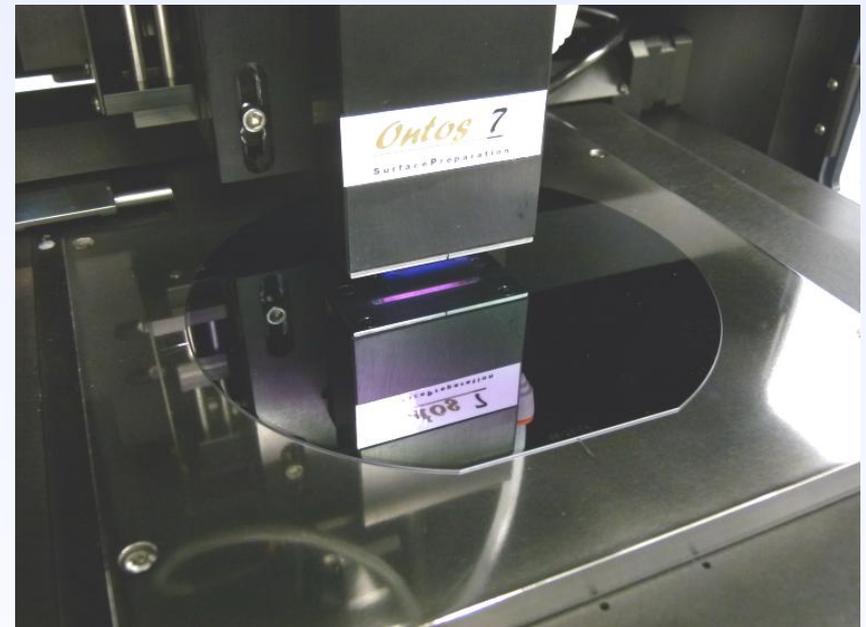
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## ● Advantages:

- **Simple** apparatus – no vacuum chamber.
- Plasma is **contained** entirely within the process head, never contacting the chip/wafer.
- **Downstream** radical chemistry only.
- **No** exposure to: arc discharges, ions, bombardment, re-deposition.
- **CMOS safe**.
- **Fast** process - completes in seconds – continuous thruput capable.
- **Non-toxic, dry** process. OSHA- and EPA-friendly.
- Very effective at removing **organic contamination films** and loose particles.
- **Reduces native oxides** on metallic surfaces.
- Can add surface **passivation** against re-oxidation - removal not required.

# Atmospheric Downstream Plasma Apparatus

- Chip/wafer is scanned under compact process head.
- 25mm wide process zone.
- Typical scan rate: 1-5 mm/sec.
- Process runs in room ambient.
- Programmable control of plasma and scan parameters.
- Vacuum chuck handles small chips to 8" wafers.
- Typical power to internal plasma source: 60-100 Watts.
- Made in U.S.A.



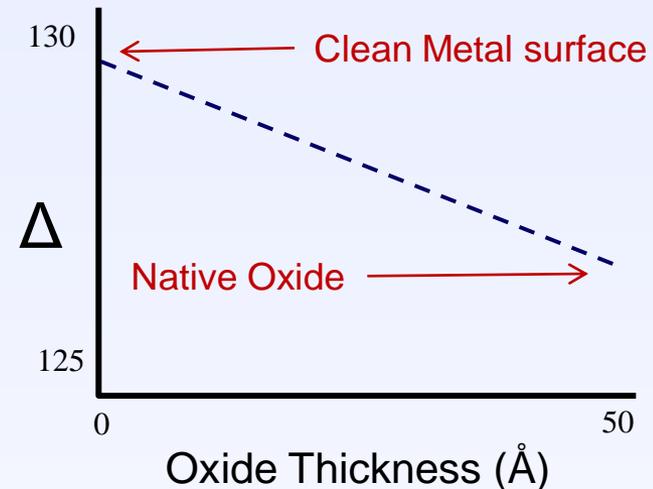
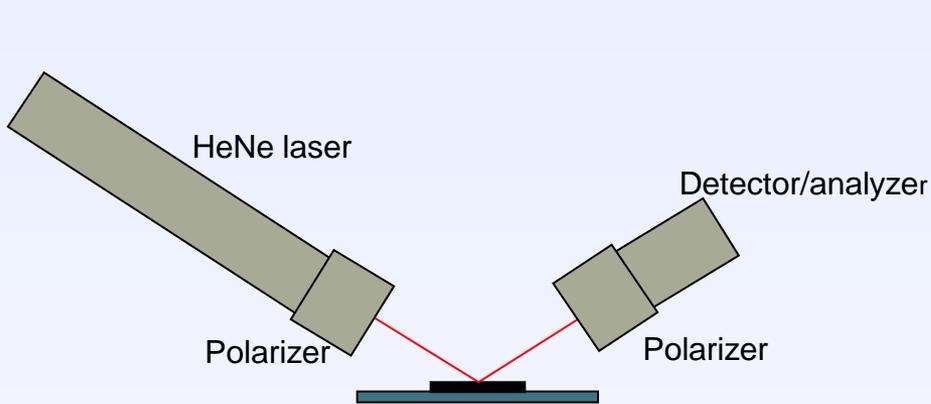
# Surface Preparation Metrics

## How Is The Process Monitored?

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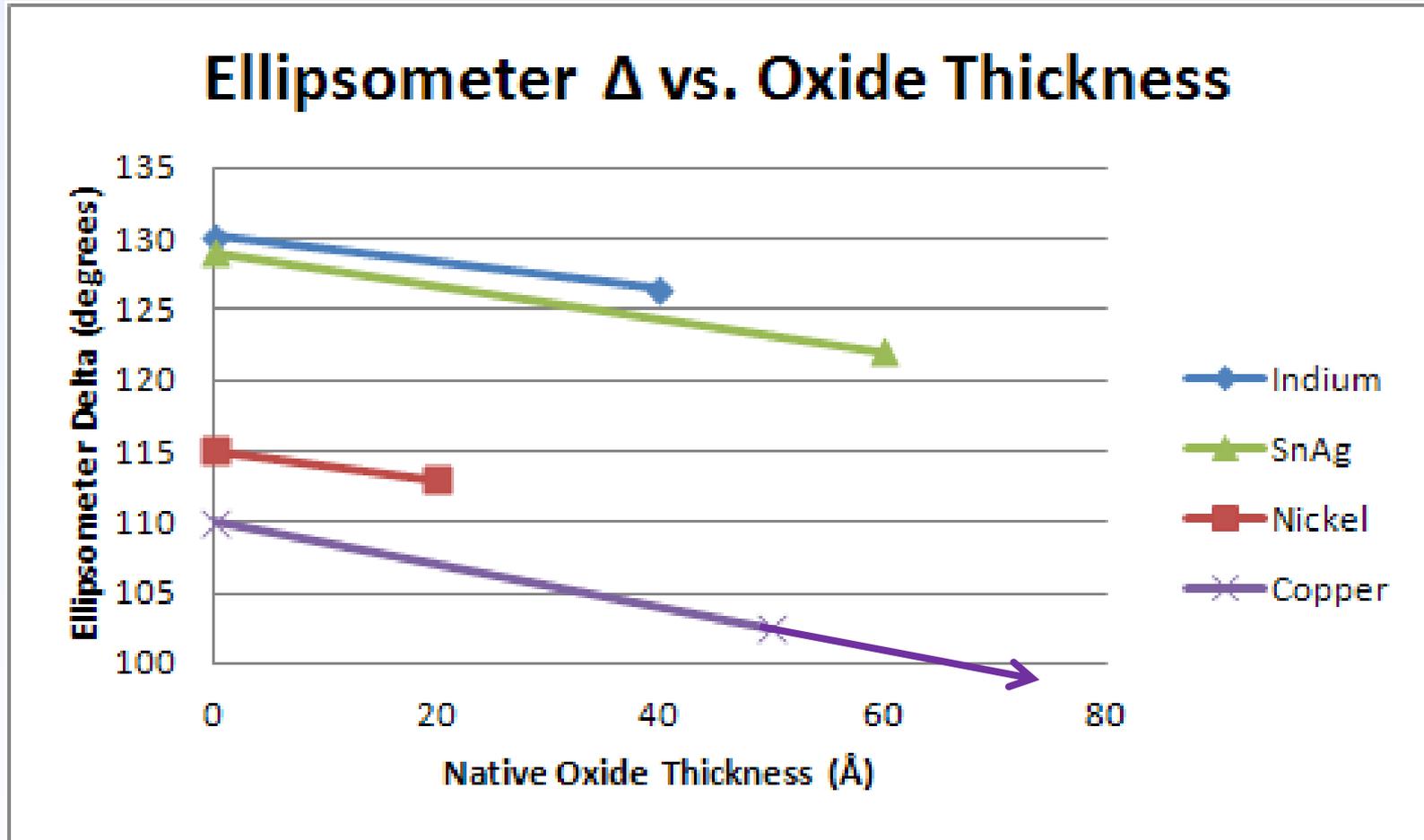
- Surface films only a few monolayers thick can compromise bonds, but are difficult to characterize.
  - XPS.
  - Empirical bonding tests.
  - Laser Ellipsometry provides fast, quantitative surface film data even at sub-monolayer coverages.

# Laser Ellipsometry As A Surface Film Metric



- Polarized laser beam reflects from sample surface
- Change in polarization ( $\Delta$ ) depends on index and thickness of surface film (i.e. metal oxide)
- **One degree** change in  $\Delta$  corresponds to  **$\sim 2.5$  monolayers** of surface film (index dependant).

# Examples Of Ellipsometer Response

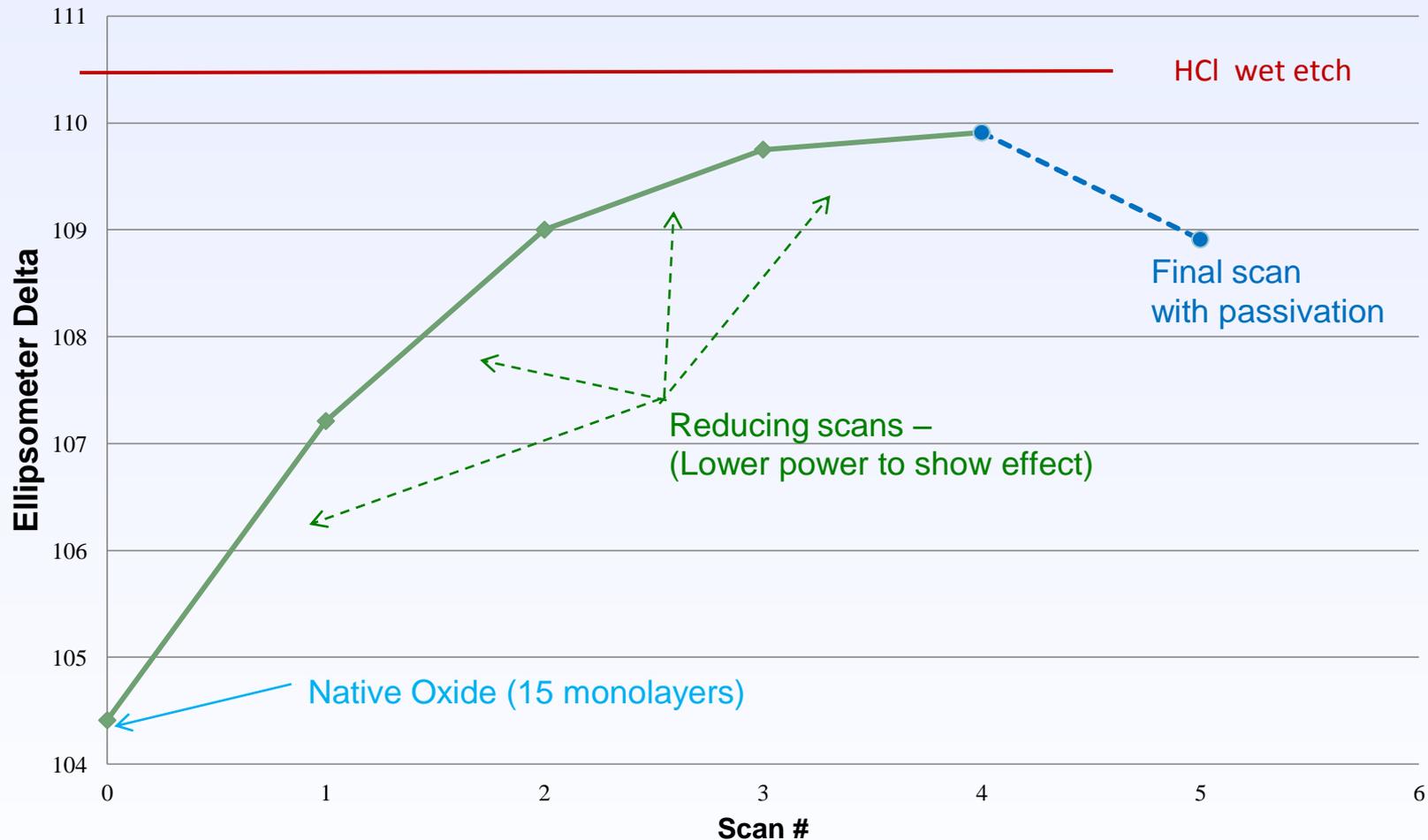


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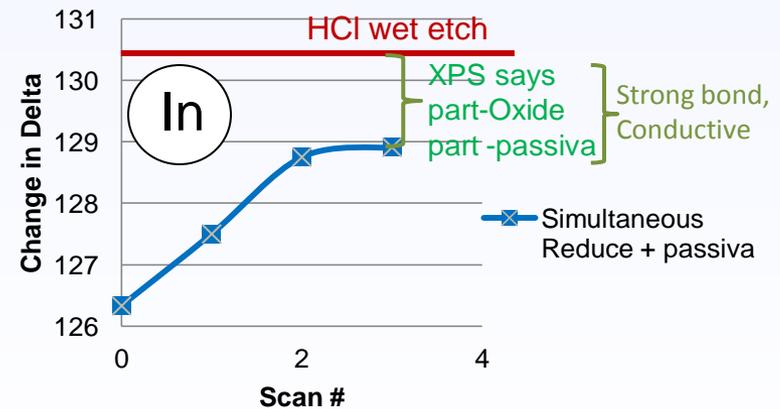
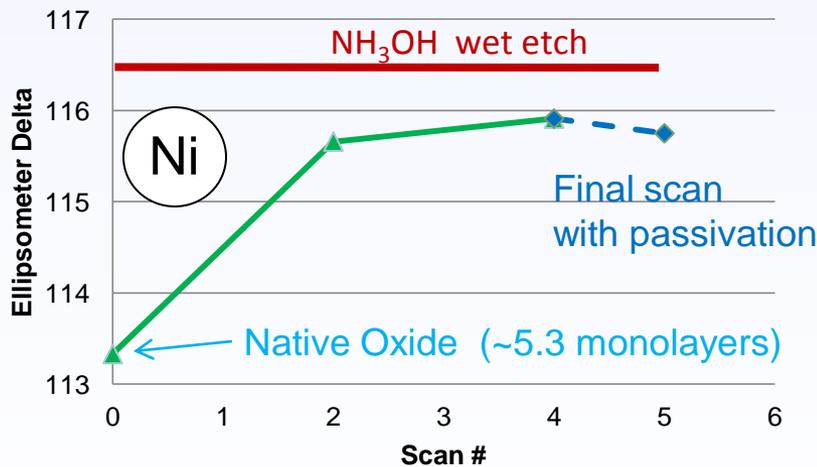
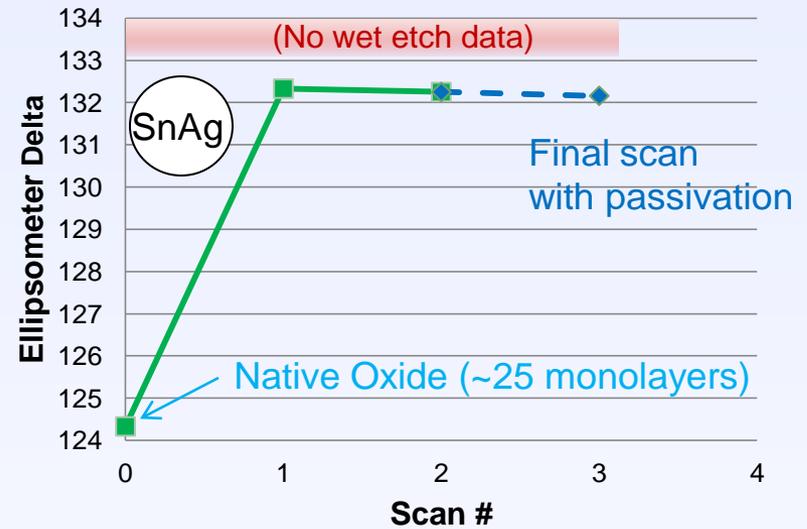
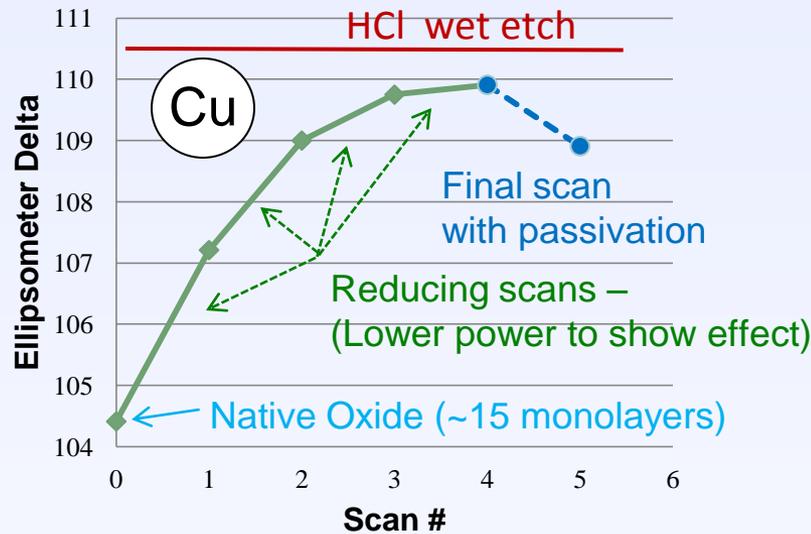
# Process-Induced Ellipsometer Response:

- Copper
- SnAg
- Nickel
- Indium

# Copper Response To Atmospheric Plasma Treatment



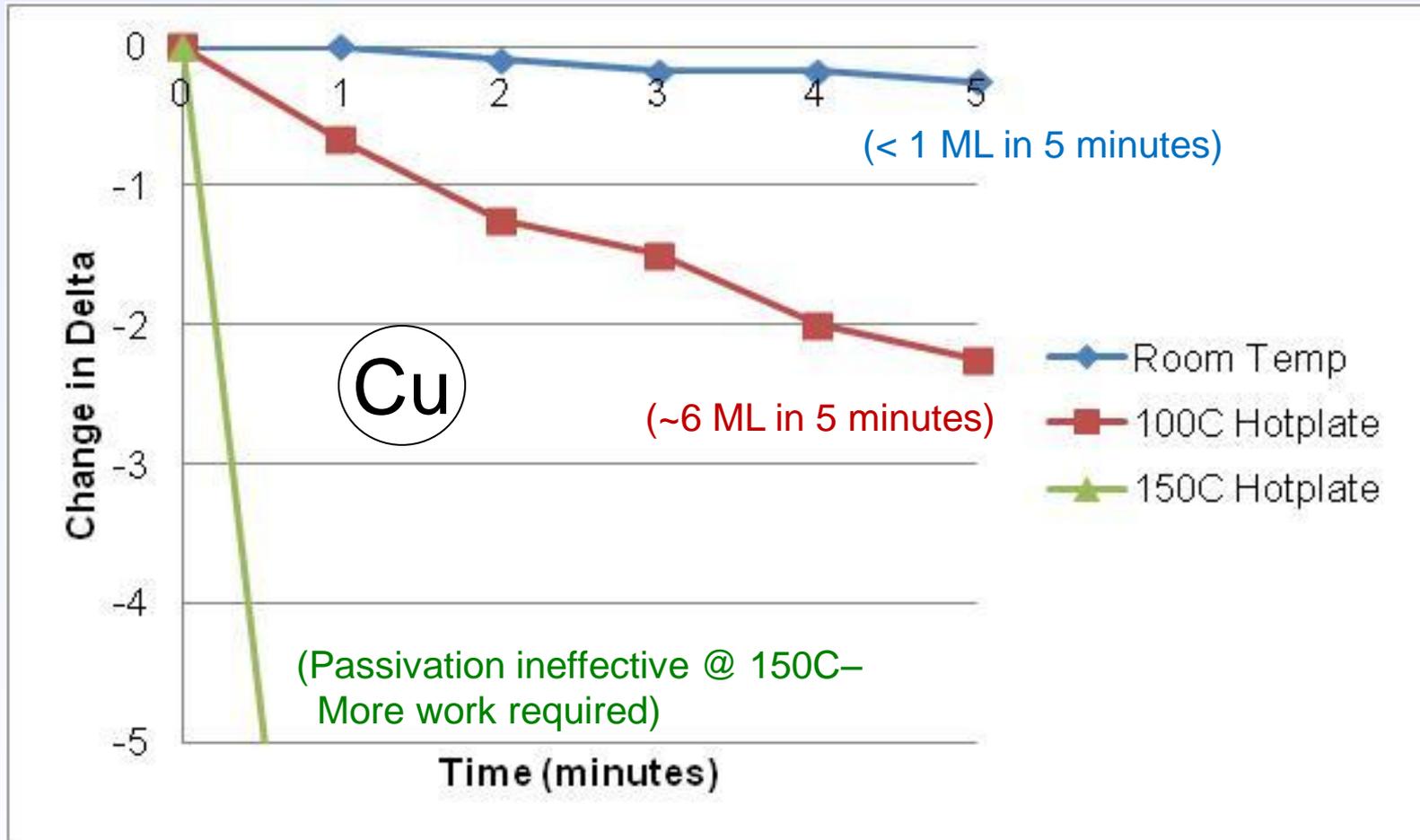
# Cu Similar response from SnAg, Ni, and In



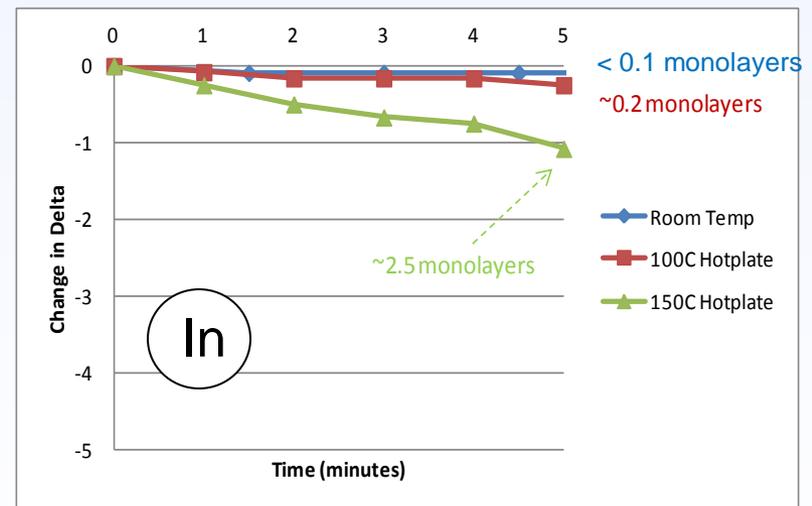
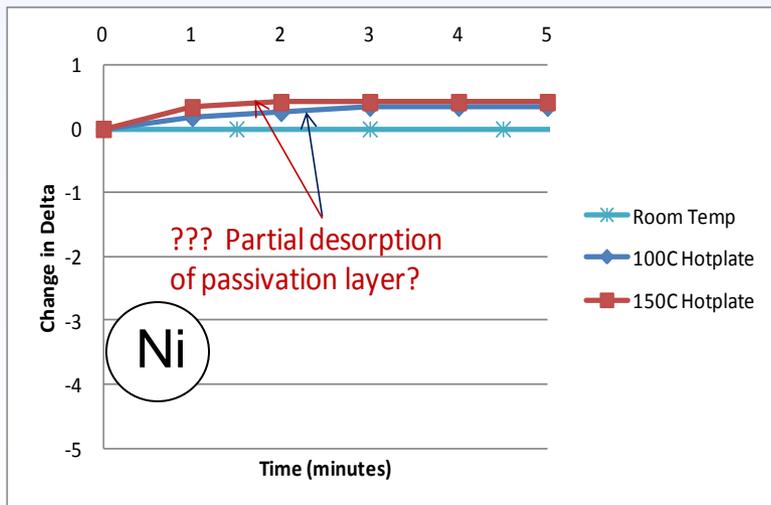
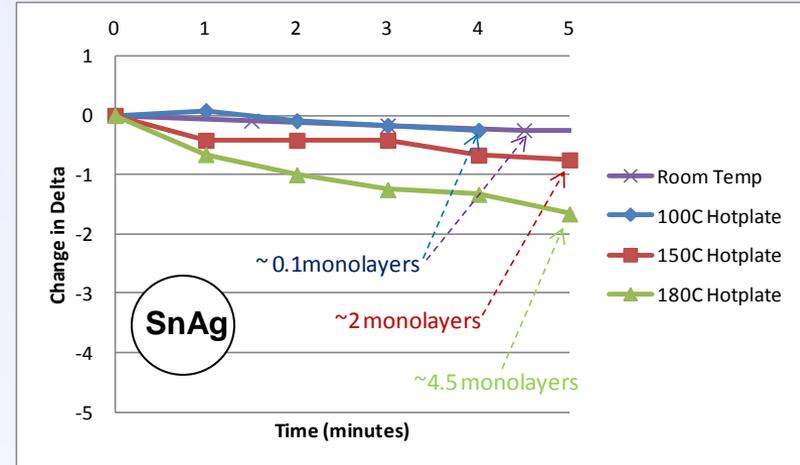
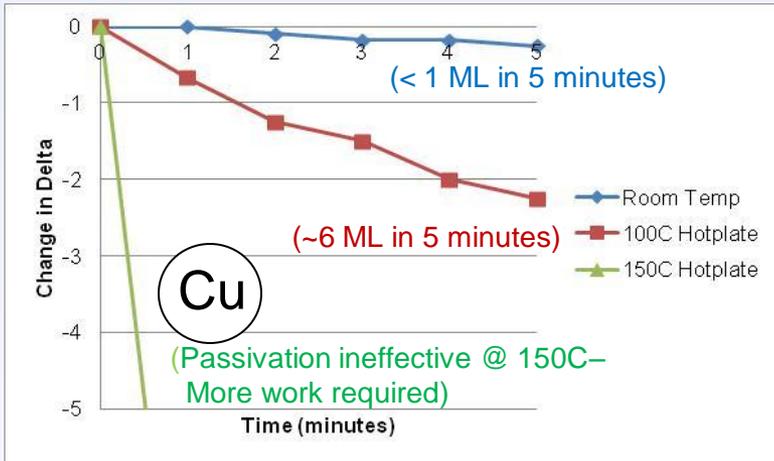
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# Passivation Stability

# Copper Passivation Effectiveness Vs Temperature (in air)



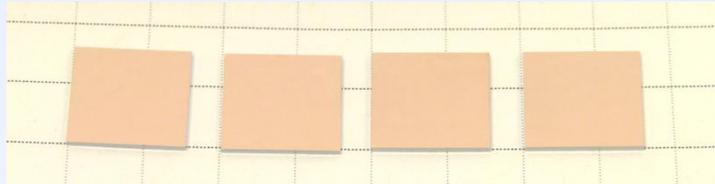
# Passivation Is More Effective On SnAg, Ni, And In



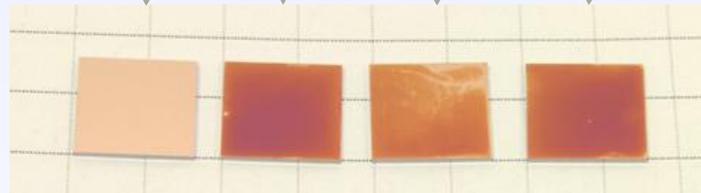
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# Physical Results

# Graphic Demonstration: Removing Heavy Copper Oxide at R.T.

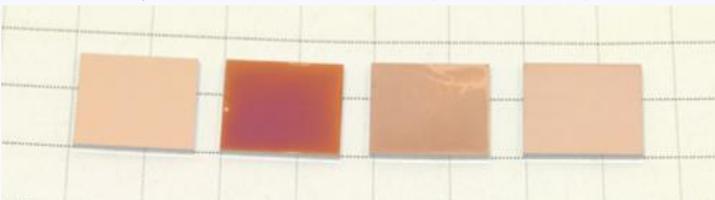


4 Cu/Si coupons out of the box



3 coupons hotplate oxidized - 150C, 12 minutes  
(Approximately 400 Angstroms)

Control      HP Ox.      HP Ox.      HP Ox.



Atmospheric Plasma reduces Cu Oxide at R.T.  
**3 scans returns Cu to native state.**

Control      No scan      1 scan      3 scans

# Bonding to Treated Copper

## • Indium to Copper

- Both surfaces treated.
- Room temperature compression.
- **No reflow.**
- Shear test shows In bonded to Cu.

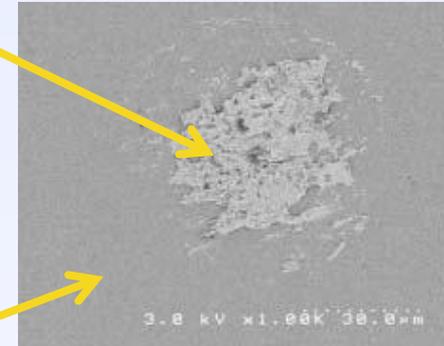
## • SnAg to Copper

- Both surfaces treated.
- 185C compression in air.
- **NO reflow**
- Shear test shows SnAg bonded to Cu.

## • Copper to Copper

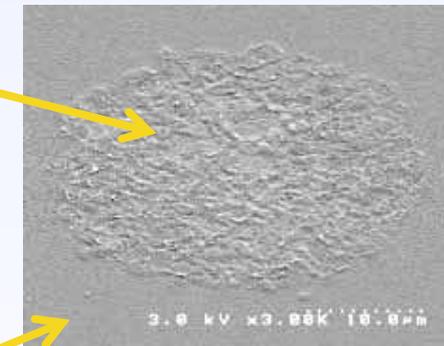
- Want to try.
- Need samples.

Bonded Indium

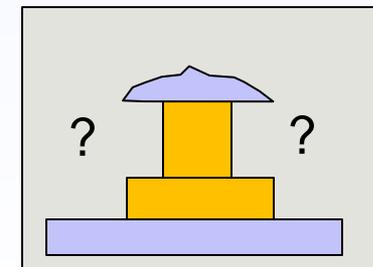


Treated Copper

Bonded SnAg



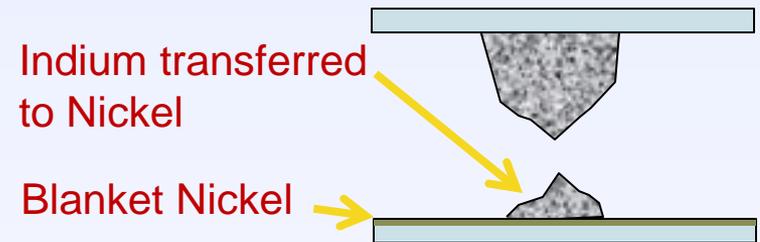
Treated Copper



# Excellent Bonding to Treated Nickel

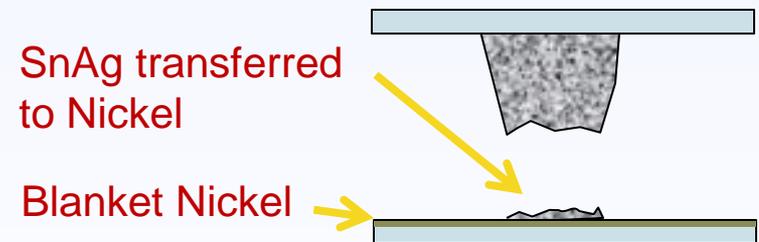
## • Indium to Nickel

- Both surfaces treated.
- Room temperature compression.
- **No reflow.**
- Pull test showed Indium transferred to blanket Nickel. (no photo available)
- Tensile rupture of Indium within bump



## • SnAg to Nickel

- Both surfaces treated.
- 180C compression.
- **No reflow.**
- Pull test showed SnAg transferred to blanket Nickel. (no photo available)
- Tensile rupture of SnAg within bump



**Benefit of Ni over Cu: No fragile intermetallics!**

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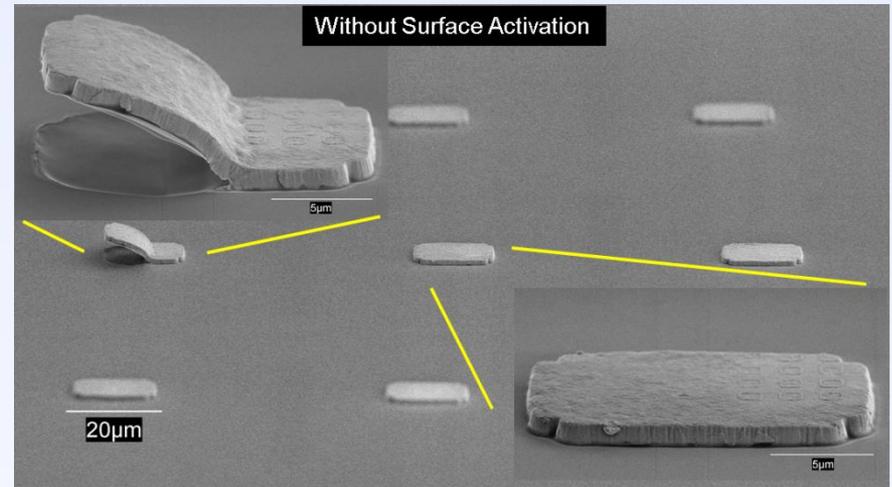
# Special Case: Gold

Thermocompression at 200C

# Bonding Gold Pad to Gold Pad

## • Gold pad to Gold pad

- **No surface treatment.**
- Compression @ 200 C
- No reflow.
- Pull test showed Gold pad compression but **low adhesion**

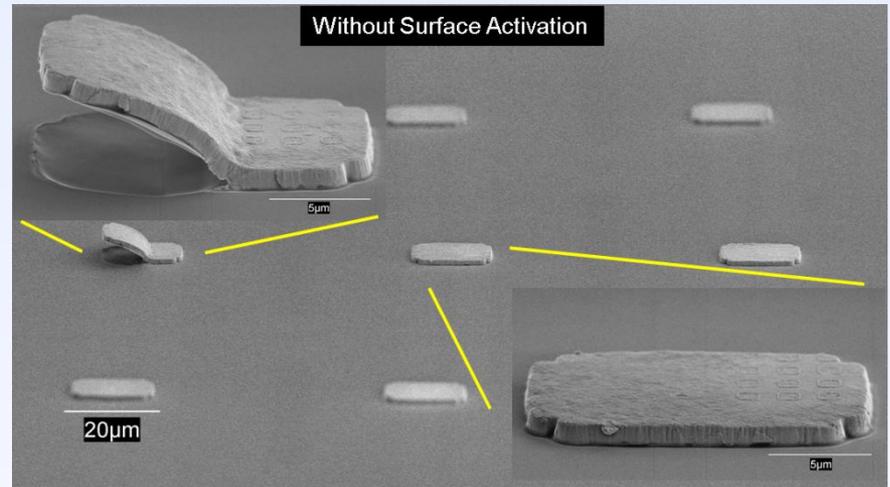


Credits: Brian Troelsen (SEM), Katlin Schroeder

# Bonding Gold Pad to Gold Pad

## • Gold pad to Gold pad

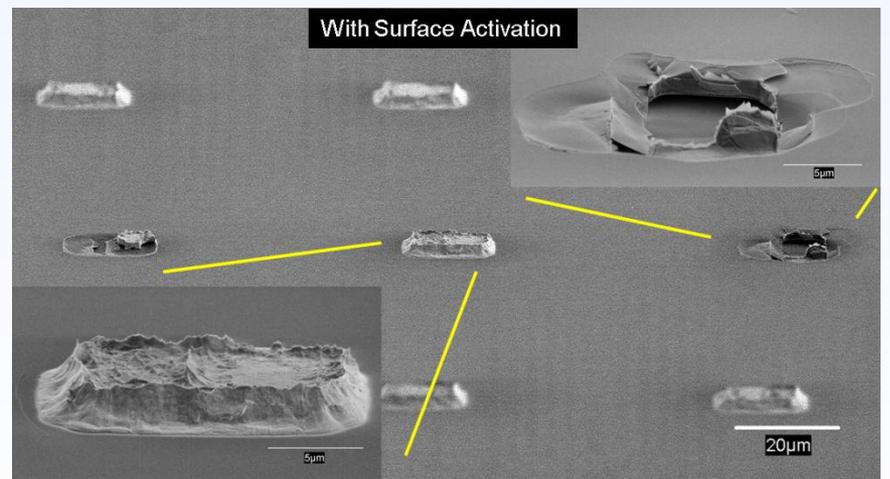
- **No surface treatment.**
- Compression @ 200 C
- No reflow.
- Pull test showed Gold pad compression but **low adhesion**



Credits: Brian Troelsen (SEM), Katlin Schroeder

## • Gold pad to Gold pad

- **Both surfaces treated.**
- Compression @ 200 C
- No reflow.
- Pull test shows **ideal tensile rupture** of Gold
- In many places, entire pad with underlying Silicon was pulled out.



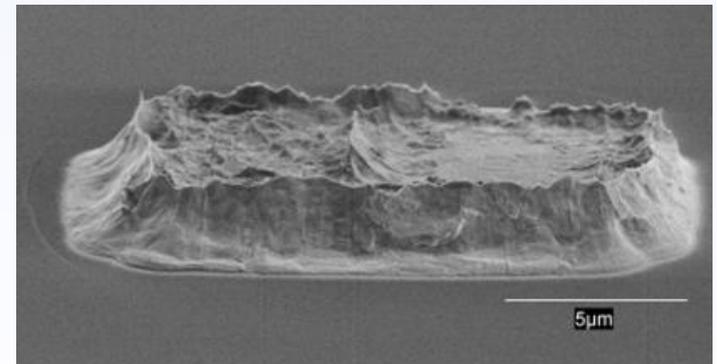
Credits: Brian Troelsen (SEM), Katlin Schroeder

# Gold Response To Atmospheric Plasma Treatment



# Why Is Reducing Chemistry Having An Effect On Gold?

- Small 1 degree change in Delta corresponds to approximately **2.5 - 3 monolayers** of.....What?
  - **Au<sub>2</sub>O<sub>3</sub>**?
  - **Organic residue** from previous photoresist liftoff?, dicing protect?
  - Perhaps a monolayer or two of adsorbed **H<sub>2</sub>O**, **OH**, or general atmosphere **organics**?
  - A trace of **Silicone** from Gelpak container?
  - Need before/after XPS data.
- Whatever it is, the Atmospheric Surface Treatment does a very nice job of cleaning and activating the Gold surface for bonding.



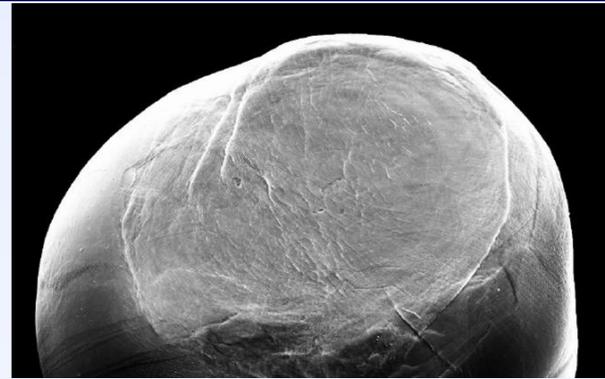
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# Special Case: Indium

# Bonding With Indium

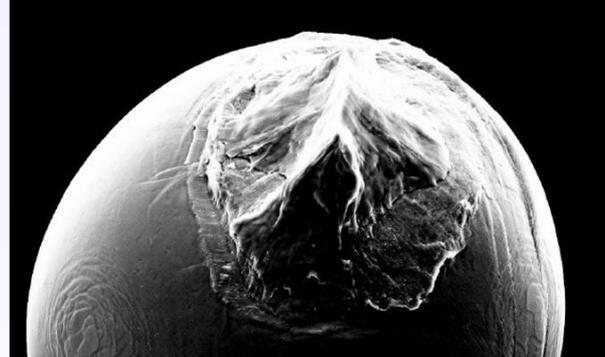
## • Indium bump to Indium bump (70 $\mu$ bumps)

- **No surface treatment.**
- Room temperature compression
  - 1 gram per bump.
- No reflow.
- Pull test shows Indium compression but zero adhesion



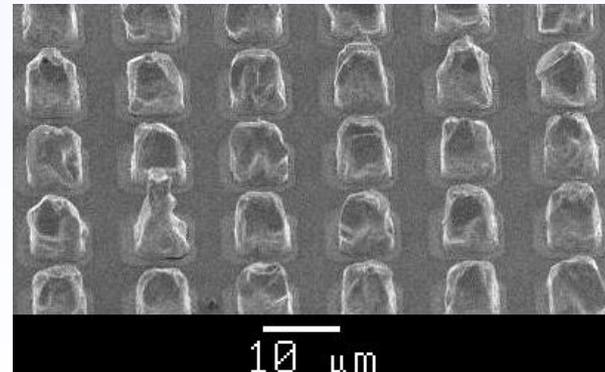
## • Indium bump to Indium bump (70 $\mu$ bumps)

- **Both surfaces treated.**
- Room temperature compression.
  - 1 gram per bump.
- No reflow.
- Pull test shows ideal tensile rupture of Indium.



## • Indium bump to Indium bump (IRFPA 20 $\mu$ c-c)

- **Both surfaces treated.**
- Bonded at room temp, no reflow.
  - 0.1 gram per bump.
- Pull-apart shows ideal tensile rupture in indium bulk.

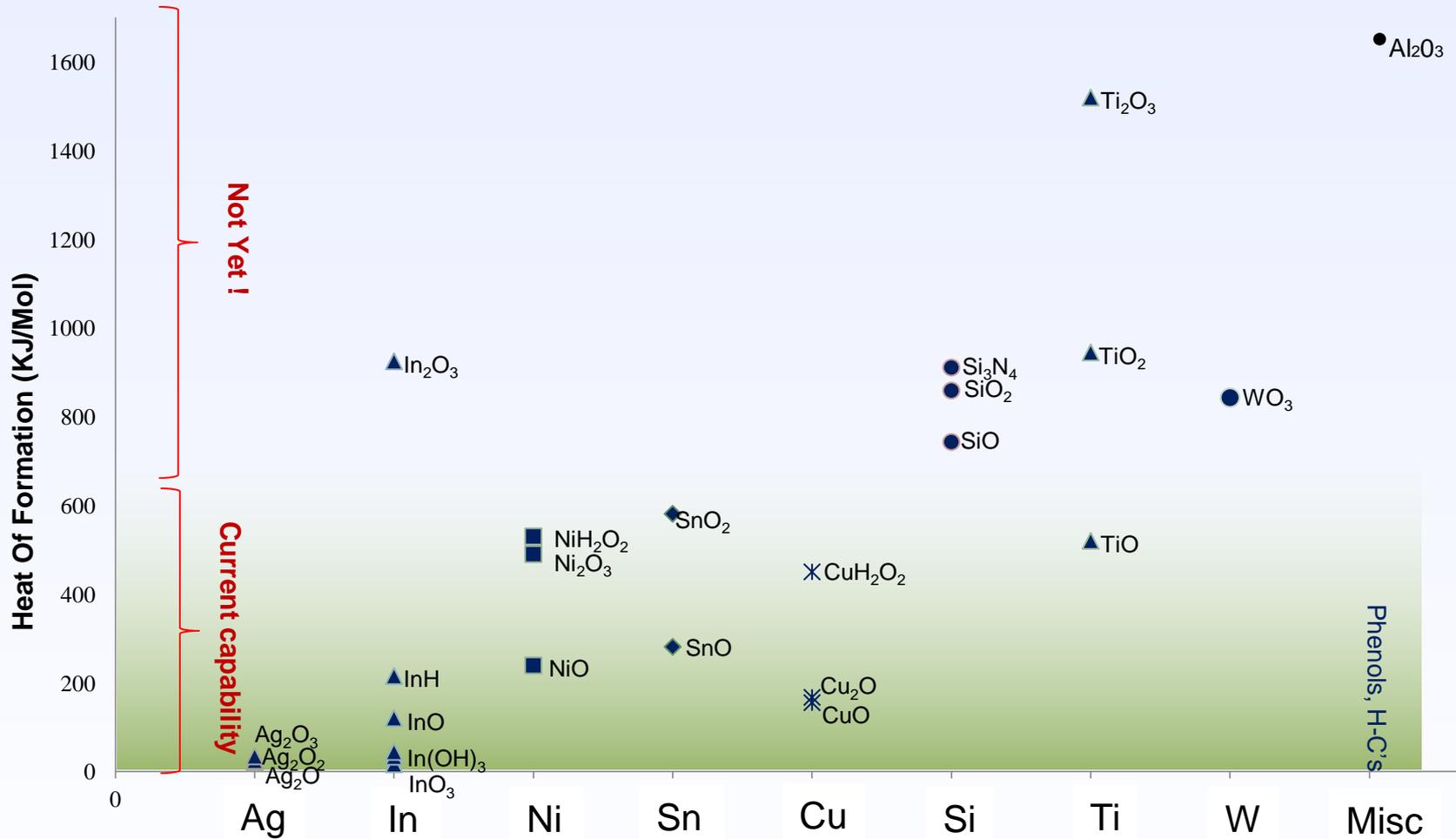


# Should Indium Be Considered For 3D Interconnect?

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- **Mil-Spec. reliability** in Infrared Focal Planes:
  - Decades of application experience.
  - Mega-bump 3D structures in routine production.
  - Ideal for high-strain heterostructures.
- **Instant bonding at room temperature:**
  - No reflow required.
- But pure Indium melts at 156C – not good for subsequent reflow assembly.
  - **Alloys of Indium** provide broad range of melt temp:
    - **Beyond 260C** while still R.T. bondable.
  - ❖ **Could enable sequential 3D stacking without reflow**

# Limitations: Not All Oxides Are Created Equal



# Conclusions

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- Atmospheric Downstream Plasma provides rapid effective surface preparation for an important variety of metals.
- Addresses both oxidation and contamination issues in 3D assembly.
- **Enables new paradigms in 3D assembly:**
  - Wider range of acceptable contact metals,
  - Potential for non-reflow assembly,
  - Possibly even room temperature!

# Future Work

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- More XPS analysis to verify theories about the last few monolayers.
- Optimize passivation for Copper.
- Enable additional metals with higher  $\Delta H_f$  capability.
- **Explore the use of low-modulus alloys for room temperature 3D assembly. (Partner?)**

# Acknowledgements

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- Sandia National Laboratories for numerous samples, XPS support, SEM photos.
- Dr Gerald Garwood, Garwood Analytical Group, for XPS support and interpretation.
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